

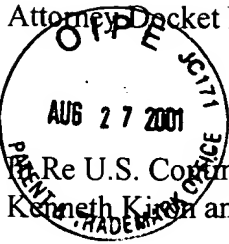
Attorney Docket No. 3001 P 014



26851

PATENT TRADEMARK OFFICE

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

0300

Re U.S. Continuation Patent Application Of:
Kenneth Kiron and Kevin Bander

Application No. 09/839,888

Confirmation No.

Filed: April 20, 2001

For: Open End Mutual Fund Securitization Process

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) Group Art Unit:
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) Examiner:
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Commissioner For Patents
Washington, D.C. 20231

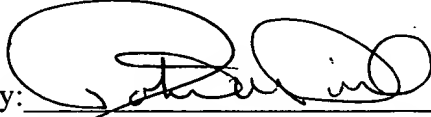
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))**

Applicant submits herewith Form PTO-1449 and a copy of the references listed thereon.

Since this Information Disclosure Statement is being filed within three months of the filing date of the Application or before the mailing date of a First Office Action on the merits, whichever event occurs last (37 C.F.R. § 1.97(b)), no certification or fee is due. The Commissioner is hereby authorized to charge any deficiency in fees to Deposit Account No. 23-0280. A duplicate copy of this paper is enclosed for that purpose.

Respectfully submitted,

Dated: Aug. 22, 2001

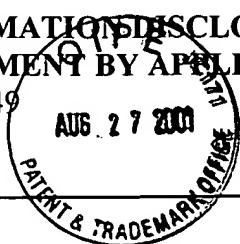
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CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231 on Aug 23, 2001


Kathleen M. Rundquist/124360.1

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
PTO-1449



W&W File No.: 3001 P 014
Application No.: 09/839,888
Applicant: Kiron et al.
Filing Date: April 20, 2001
Art Unit:

U.S. PATENT DOCUMENTS

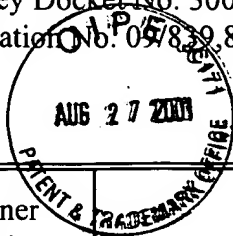
Examiner Initial	U.S. Patent Document No.	Name of Patentee or Applicant	Class/ Subclass	Date of Publication
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Date

Examiner: _____

Considered: _____

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.